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Express Mail Label No.: EL545312982US

NEW UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Docket No. S63.2-9176

Total Pages in this Submission

23 (including checks and postcard)

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

1c836 U.S. PTO
09/672330
09/28/00

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled: *PROCESS FOR FORMING A MEDICAL DEVICE BALLOON*

and invented by: Nao Pao LEE, Daniel HORN, Ken ZHANG, Victor SCHOENLE

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed (in addition to the 4 pages of this transmittal) are:

4 pages

Application Elements

1. ☒ Filing fee as calculated below:

a. ☒ filing fee is NOT ENCLOSED - fee will be paid at the time of responding to the Notice of Missing Parts -- DO NOT CHARGE DEPOSIT ACCOUNT

b. ☐ a check in the amount of \$690.00 to cover the filing fee is enclosed. _____ pages

c. ☐ charge to Deposit Account as authorized at Item 2(a) on next page.

FEE CALCULATION AND CLAIMS

For	No. Filed	No. Allowed	No. Extra	Rate	Fee
Total Claims	14	- 20 =		x \$18.00	\$
Indep. Claims	1	- 3 =		x \$78.00	\$
BASIC FEE					\$690.00
TOTAL FILING FEE					\$690.00

continued on next page.....

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2. The Commissioner is hereby authorized to charge and credit Deposit Account No. 22-0350 as described below. A duplicate copy of this sheet is enclosed.

- a. ☐ Charge the amount of \$____ as filing fee.
- b. ☐ Credit any overpayment.
- c. ☐ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- d. ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

3. ☒ Specification having 14 pages and including the following: 14 pages

- a. ☒ Application Cover Sheet - 1 page
- b. ☒ Descriptive Title of the Invention -
- c. ☐ Cross References to Related Applications *(if applicable)*
- d. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
- e. ☐ Reference to Microfiche Appendix *(if applicable)*
- f. ☒ Background of the Invention
- g. ☒ Brief Summary of the Invention
- h. ☐ Brief Description of the Drawings *(if applicable)*
- i. ☒ Detailed Description
- j. ☒ Claim(s) as Classified Below - 2 pages
- k. ☒ Abstract of the Disclosure - 1 page

4. ☒ Drawing(s) *(when necessary as prescribed by 35 U.S.C. 113)* 2 sheets 2 pages

5. ☐ Oath or Declaration - _____ pages

- a. ☐ Newly executed *(original or copy)* ☐ Unexecuted
- b. ☐ Copy from a prior application (37 C.F.R. 1.63(d)) *(for continuation/divisional application only)*

6. ☐ Separate Power of Attorney _____ pages

- ☐ 37 C.F.R. 3.73(B) Statement *(when there is an assignee and power of attorney is from assignee)*. It is hereby certified that the undersigned has authority to make this certification and has reviewed all the documents in the chain of title of the patent application identified herein and, to the best of undersigned's knowledge and belief, title is in the assignee identified in the accompanying Power of Attorney.

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☐ Power of Attorney filed in parent application.

7. ☐ Incorporation by Reference *(usable if Box 5b is checked)*

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

8. ☐ Computer Program in Microfiche *(Appendix)* _____ pages

9. ☐ Nucleotide and/or Amino Acid Sequence Submission _____ pages
(if applicable, all must be included)

a. ☐ Paper Copy

b. ☐ Computer Readable Copy *(identical to computer copy)*

c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

10. ☐ Assignment Papers: _____ pages

a. ☐ Assignment Recordation Cover Sheet (Form PTO 1595)

b. ☐ Assignment

c. ☐ A check in the amount of \$____ to cover the Recordal Fee

d. ☐ Previously recorded on ***, Reel **, Frames **

11. ☐ English Translation Document *(if applicable)* _____ pages

12. ☐ Information Disclosure Statement: _____ pages

a. ☐ PTO Form 1449 b. ☐ Copies of IDS Citations

13. ☐ Preliminary Amendment _____ pages

14. ☒ Acknowledgement Postcard 1 page

15. ☒ Form of Mailing - Express Mail *(Specify Label No.):* EL545312982US

16. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)* _____ pages

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17. ☒ Additional Enclosures *(please identify below)*:

2 pages


- ☒ Constructive Petition for Extension of Time and Fee Authorization Pursuant to 37 C.F.R. §1.136(a)(3) - 1 page
- ☒ Correspondence Address form - 1 page
- ☐ _____

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: September 28, 2000

By:


Walter J. Steinkraus

Registration No. 29,592

6109 Blue Circle Drive, Suite 2000

Minnetonka, MN 55343-9185

Telephone: (952) 563-3000

Facsimile: (952) 563-3001

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